

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Eric Anderson

Confirmation No.: Not yet known

Application No.:

Examiner: Not yet known

Filing Date: June 20, 2003

Group Art Unit: Not yet known

Title: ADAPTIVE MIGRATION PLANNING AND EXECUTION

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
 - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV323269791US**

Date of Deposit **June 20, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By 

Typed Name: **Arnold M. de Guzman**

Respectfully submitted,

Eric Anderson

By 

Arnold M. de Guzman

Attorney/Agent for Applicant(s)
Reg. No. **39,955**

Date: **June 20, 2003**

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO. 100202201-1	APPLICATION NO. Not yet known	CONFIRMATION NO. Not yet known
	APPLICANT Eric Anderson		
	FILING DATE June 20, 2003	GROUP Not yet known	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,381,619 B1	04/30/2002	Borowsky, et al.	
	1B	20020174419	11/21/2002	Alvarez, et al.	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check If Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

1Q	"An Experimental Study of Data Migration Algorithms" by Eric Anderson, et al., 5th Workshop on Algorithm, Engineering BRICS, University of Aarhus, Denmark, August 28-30, 2001 (WAE2001)
1R	"Aqueduct: online data migration with performance guarantees" by Chenyang Lu, et al., Conference on File and Storage Technologies, (FAST '02), pp. 219-230, 28-30 January 2002, Monterey, CA. (USENIX, Berkeley, CA.)
1S	"Hippodrome: running circles around storage administration" by Eric Anderson, et al., Conference on File and Storage Technologies, (FAST '02), pp. 175-188, 28-30 January 2002, Monterey, CA. (USENIX, Berkeley, CA.)

EXAMINER

DATE CONSIDERED